

REVIEW

Astaxanthin: How much is too much? A safety review

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Astaxanthin (AX)-containing preparations are increasingly popular as health food supplements. Evaluating the maximum safe daily intake of AX is important when setting dose levels for these products and currently, there are discrepancies in recommendations by different regulatory authorities. We have therefore conducted a review of approved dose levels, clinical trials of natural AX, and toxicological studies with natural and synthetic AX. Recommended or approved doses varied in different countries and ranged between 2 and 24 mg. We reviewed 87 human studies, none of which found safety concerns with natural AX supplementation, 35 with doses ≥ 12 mg/day. An acceptable daily intake (ADI) of 2 mg as recently proposed by European Food Safety Authority was based on a toxicological study in rats using synthetic AX. However, synthetically produced AX is chemically different from natural AX, so results with synthetic AX should not be used in assessing natural AX safety. In addition, few safety studies have been conducted in either humans or animals with synthetic AX. We therefore recommend the ADI for natural AX to be based only on studies conducted with natural AX and further studies to be conducted with synthetic AX (including human clinical trials) to establish a separate ADI for synthetic AX.

KEYWORDSastaxanthin, dosage, *Haematococcus pluvialis*, *Paracoccus carotinifaciens*, *Pfaffia rhodozyma*, safety, synthetic astaxanthin, toxicity

1 | INTRODUCTION

Astaxanthin (AX) is a carotenoid pigment found in some algae, mainly *Haematococcus pluvialis*, and the aquatic animals that feed on them: the yeast *Pfaffia rhodozyma* (*Xanthophyllomyces dendrorhous*) and the bacterium *Paracoccus carotinifaciens*. Astaxanthin-containing products are increasingly popular as human health food supplements, with a market size of over US\$100 million in 2018 and double-figure annual growth rates (Schultz, 2018). They are taken for many different reasons, including to improve eye health and vision, skin health, and exercise performance and recovery. Some regulatory authorities allow limited health claims for these indications. Commercial AX preparations are mainly sourced from *H. pluvialis* cultivation, but synthetic AX is becoming an important product, given the ecological issues surrounding krill harvesting and limitations on yields from cultivated algae.

Astaxanthin is routinely found in the human diet, from salmon, trout and other fish, and crustaceans. In the wild, animals obtain AX from dietary algae. If farmed, to produce the required pink color to the flesh, AX is added to feed. Thus, evaluating the maximum safe human daily intake of AX is important when setting recommended doses for nutritional supplements. Some toxicity studies have examined synthetic AX and some have been extrapolated to natural AX, as discussed below. The purpose of this review is to evaluate studies of safety, toxicity, and dose-related effects for natural AX-containing supplements.

2 | MATERIALS AND METHODS

For the regulatory review, websites and publications of national competent authorities (European Union [EU], the U.S. Food and Drug Administration [FDA], Health Canada, Australia's Therapeutic Goods

Administration, and the Ministry of Health of Japan and South Korea) were searched.

For the clinical and toxicological reviews, PubMed, Google Scholar, Web of Science, and Scopus were searched using the keywords “astaxanthin,” “*Haematococcus pluvialis*,” “human,” “trial,” “RCT,” “safety,” and “toxicology.” Given that the first AX supplements entered the marketplace in the 1990s, our search was limited to 1985–2019. The search included reviews and meta-analyses that were then searched manually for reports on human trials.

3 | RESULTS

3.1 | Recommended levels in feed additives and acceptable daily intake

3.1.1 | AX as a feed additive

Synthetic AX and AX-rich extracts of *P. rhodozyma*, *P. carotinifaciens*, and *H. pluvialis* are registered as feed additives for salmon and trout up to 100 mg/kg feed (European Food Safety Authority [EFSA], 2014a). In 1987, the US FDA approved the use of naturally derived AX as a feed additive at up to 80 mg/kg feed (Hoffmann la Roche, 1987).

3.1.2 | AX acceptable daily intake in humans

Synthetic AX

In 2014, the Panel on Additives and Products or Substances used in Animal Feed (FEEDAP) established the human acceptable daily intake (ADI) for synthetic AX in foodstuffs as 2.0 mg/day for a 60-kg adult (EFSA, 2014a), which was derived from a study in rats by Buser, Jovanovic, Lenz, et al. (2003a).

Natural AX

EFSA estimated an ADI of 0.034 mg/kg body weight per day of natural AX (EFSA, 2014b), equating to about 2 mg/day for a 60-kg adult, derived from a study in rats by Takahashi, Tsukahara, and Minato (2005).

Recent human clinical studies have used doses of 4 mg of natural AX, and frequently 8 mg or higher, and in many cases, therapeutic outcomes depend on doses higher than 2 mg/day. It is necessary to address this discrepancy to clarify the evidence on the safety of doses above 2 mg/day and to update the recommendations. We have therefore reviewed:

1. Dose levels approved or recommended by regulatory authorities.
2. The clinical safety of natural AX in human trials at all doses.
3. Toxicity studies in animal studies and on synthetic AX.

3.2 | Regulatory review

Natural and synthetic AX have been assessed for safety and found safe for human consumption by multiple jurisdictions and in various regulatory categories.

3.2.1 | European Union

Substantial equivalence

Astaxin, containing a daily dose of 4 mg AX, was launched in Sweden by Astacarotene in 1995, which preceded Regulation (EC) 258/97, concerning ingredients without a history of use in the EU prior to May 1997. Since then, many other manufacturers have issued notifications on the basis of “substantial equivalence,” at doses of 2 mg and above, and Table 1 shows these and their reliance on previous applications for other products. In 2018, the new Novel Foods Regulation (EU) 2015/2283 came into force, and the list entry for AX-rich oleoresin from *H. pluvialis* confirmed a maximum ADI level for AX of up to 8 mg (European Commission, 2017).

3.2.2 | USA

GRAS, FDA-affirmed

The FDA is satisfied with the safety-in-use of the AX derived from *H. pluvialis* and *P. carotinifaciens*, providing that consumption is below 6–7 mg AX per day.

GRAS, Self-affirmed

Self-affirmed generally recognized as safe (GRAS) procedures are generally not made public, nor is this an obligation, other than disclosure to the regulator. Several natural AX products are included in this category.

New dietary ingredient notifications (NDINs)

Over the last 20 years, FDA received, and had no objections to, a total of 17 NDINs addressing the safety of natural AX products in various preparations, with a recommended daily dose ranging from 2–24 mg/day. These are summarized in Table 2 and almost all are in excess of the recommended 2 mg/day.

3.2.3 | Canada

Health Canada's licensed natural health products database lists a total of 81 active registered products containing AX. Allowable claims include “helps to improve physical endurance,” “source of/provides antioxidants,” “helps to support eye health,” and “helps to reduce eye strain and eye fatigue.” The allowable daily dose is up to 12 mg.

3.2.4 | Australia/New Zealand

The Australian Register of Therapeutic Goods lists 40 registered products containing AX (Therapeutic Goods Administration, 2013) with an allowable daily dose of up to 12 mg.

3.2.5 | Japan

Astaxanthin is listed as an “existing food additive,” due to it being widely used in Japan and having a long history of consumption by

TABLE 1 Substantial equivalence notifications for natural astaxanthin under Regulation (EC) 258/97

| Manufacturer | Year | Daily dose (mg) | Authority | Opinion |
|----------------------------|-----------|-----------------|--|--|
| US Nutra/Valensa (Zanthin) | 2004 | 4 | ACNFP (UK) | "The AX-rich carotenoid oleoresin produced by US Nutra can be considered substantially equivalent to the existing algal meal produced by Astacarotene" |
| AstaREAL (L-10) | 2006 | 8 | NFA (Sweden) | "AstaREAL-L 10 meets the criteria for equivalence as defined in Article 3(4) (EC) 258/97" |
| Cyanotech (BioAstin) | 2007 | 4 | ACNFP (UK) | "Cyanotech has demonstrated the equivalence of their astaxanthin-rich oleoresin (...) to be used with an AX content of no more than 4mg per capsule" |
| Alga Technologies | 2008 | 4 | ACNFP (UK) | "Demonstrated the equivalence of their astaxanthin-rich oleoresin from <i>H. pluvialis</i> " |
| Parry (AstaNatural) | 2009 | 4 | ACNFP (UK) | No opinion published |
| Fenchem (AstaMarin) | 2014 | 2–12 (4) | FSAI (Ireland) | "AX marketed by Fenchem Biotek Ltd. is substantially equivalent to the astaxanthin product (BioAstin [®])" |
| Fenchem (AstaSuper) | 2015 | 2–12 (4) | FSAI (Ireland) | As for 2014 Astamarin application |
| InnoBio | 2016 | 2–4 | FSAI (Ireland) | "InnoBio [®] Astaxanthin substantially equivalent to the EU-authorized astaxanthin (Zanthin [®])" |
| BGG (AstaZine) | 2016 | 4 | FSAI (Ireland) | "AstaZine produced by the Beijing Gingko Group (BGG) in China is substantially equivalent to the authorized astaxanthin-rich oleoresin (Zanthin [®])" |
| BGG (AX Oil, CO2 extract) | 2016/2017 | n.a. | FSAI (Ireland) | "Astaxanthin oil (supercritical CO2 extraction) produced by BGG is substantially equivalent to the EU-authorized Zanthin [®] " |
| Algalif | 2017 | 4 | FSAI (Ireland) | No opinion published |
| Algalo | 2017 | 4 | ACNFP (UK) | "Demonstrated the equivalence of their oleoresin product from dried biomass obtained from <i>H. pluvialis</i> with the existing oleoresin products ..." |
| Algamo (Algastin) | 2017 | 4 | Ministry of Agriculture (Czech Republic) | "AX from <i>Haematococcus pluvialis</i> produced by Algamo is substantially equivalent to AX from BioAstin [®] " |
| Yunnan Alphy (AstAlphy) | 2017 | 8 | FSAI (Ireland) | "Astaxanthin from <i>Haematococcus pluvialis</i> (AstAlphy [™]) is substantially equivalent to the astaxanthin-rich oleoresin (AstaREAL [®] L10)" |

Abbreviations: ACNFP, Advisory Committee on Novel Foods and Processes; FSAI, Food Safety Authority of Ireland; NFA, National Food Administration.

humans. Competent authorities are conducting safety testing on substances in this category but AX has not yet been assessed.

3.2.6 | South Korea

Astaxanthin derived from *H. pluvialis* is listed at an allowable daily dose of 4–12 mg. The associated health claim is "help to improve eye fatigue."

3.3 | Natural versus synthetic AX

Natural and synthetic AX are not identical in chemical composition, bio-availability, purity, or organoleptic qualities. Natural AX is variable, existing as 3S,3'S- and 3R,3'R-stereoisomers (see Figure 1) and in free and esterified form. The alga *Haematococcus* synthesizes mainly the 3S,3'S-isomer, also predominant in wild Atlantic salmon and occurring mainly in the free form. The yeast *P. rhodozyma* produces mainly the 3R,3'R-isomer, also the primary stereoisomer found in the Antarctic krill (*Euphausia superba*), but mainly in the esterified form (Ambati, Phang,

Ravi, & Aswathanarayana, 2014). As shown in Table 2, the vast majority of new dietary ingredient notifications for natural AX filed with FDA were regarding *H. pluvialis* extracts. Natural AX extracts usually contain other carotenoids (beta-carotene, canthaxanthin, and lutein), depending on source, that possess related and other biological activities.

Synthetic AX comprises a mixture of the isomers 3S, 3'S, 3R, 3'S, and 3R and 3'R. It may also contain trace amounts of residual solvents and chemical reagents (Capelli, Bagchi, & Cysewski, 2013; Edwards, Bellion, Beilstein, Rumbeli, & Schierle, 2016).

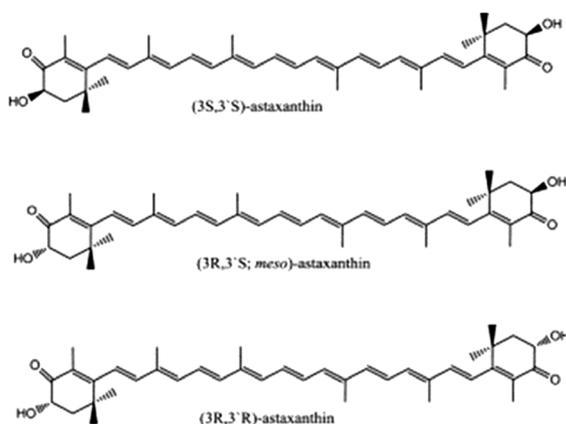
3.3.1 | Clinical safety of natural AX

The effects of natural AX in humans have been reviewed in more than 20 publications over the last 10 years and include studies on anti-oxidation, hepatoprotection, eye function, skin health, immune response, inflammation, gastric ulcer, cardiovascular system, muscle endurance, cancer, central nervous system, male fertility, metabolic syndrome, diabetes, and mitochondrial dysfunction (Ekpe, Inaku, & Ekpe, 2018; Fakhri, Abbaszadeh, Dargahi, & Jorjani, 2018;

TABLE 2 New dietary ingredient notifications for natural astaxanthin filed with Food and Drug Administration

| NDIN | Applicant | Year | Source | RDI astaxanthin (mg) |
|--------------------|------------------|-----------|-----------------------------------|----------------------|
| 45(52), 50(58) | Cyanotech | 1999 | <i>Haematococcus pluvialis</i> | 6 |
| 65(75) | Aquasearch | 2000 | <i>Haematococcus pluvialis</i> | 5 |
| 119(143) | Micro Gaia | 2002 | <i>Haematococcus pluvialis</i> | 1–2 |
| 236(281) | Fuji | 2004 | <i>Haematococcus pluvialis</i> | 12 |
| 237(282) | Nutraceuticals | 2004 | <i>Haematococcus pluvialis</i> | n.d. |
| 274(319) | Fuji | 2005 | <i>Haematococcus pluvialis</i> | 7.6 |
| 278(323) | US Nutra | 2005 | <i>Haematococcus pluvialis</i> | 5 |
| 372(417), 406(466) | Algatechnologies | 2006/2007 | <i>Haematococcus pluvialis</i> | 5 |
| 632 | Yamaha | 2010 | <i>Haematococcus pluvialis</i> | 1–12 |
| 717 | Cyanotech | 2011 | <i>Haematococcus pluvialis</i> | 12 |
| 742 | Fuji | 2012 | <i>Haematococcus pluvialis</i> | 4–12 |
| 815 | Genovia | 2014 | <i>Haematococcus pluvialis</i> | 12 |
| 829 | JX Nippon | 2014 | <i>Paracoccus carotinifaciens</i> | 6 |
| 884 | BGG | 2015 | <i>Haematococcus pluvialis</i> | 12 |
| 943 | BGG | 2016 | <i>Haematococcus pluvialis</i> | 24 |
| 957 | Algatechnologies | 2017 | <i>Haematococcus pluvialis</i> | 12 |
| 1,067 | Yunnan Alphy | 2018 | <i>Haematococcus pluvialis</i> | 12 |

Abbreviation: NDI, new dietary ingredient; RDI, recommended daily intake.

**FIGURE 1** Isomers of astaxanthin

Fassett et al., 2008; Fassett & Coombes, 2012; Galasso et al., 2018; Visioli & Artaria, 2017; Brown, Gough, Deb, Sparks, & McNaughton, 2018; Capelli, Jenkins, & Cysewski, 2013; Davinelli, Nielsen, & Scapagnini, 2018; Kim & Kim, 2018; Yamashita, 2013).

We have identified and evaluated 87 clinical trials (Table 3), in which, 2,000+ participants received natural AX. In order to capture all adverse event reports, side effects, or other safety concerns, we did not exclude any studies on the basis of risk of bias or quality. Level of detail in reporting varied widely, which makes it near impossible to evaluate and compare the quality of the studies. Any attempt to rank would be flawed if based on the information available from the published literature. In general terms, however, it can be said that the more recent randomized clinical trials have been conducted with more scientific rigor than earlier open label and observational trials.

As shown in Table 3, eight human studies were conducted to look specifically at safety of high doses of natural AX, ranging from 8 to 45 mg/day and over 4 to 12 weeks (Aquasearch, 1999; Kajita et al., 2010; Kajita, Tsukahara, Kato, & Yoshimoto, 2009; Matsuyama et al., 2010; Ohgami et al., 2005; Satoh et al., 2009; Spiller & Dewell, 2003; Tsukahara et al., 2005). Twenty-eight studies were found, which used daily doses of at least 12-mg natural AX over a period of at least 4 weeks. These were general efficacy studies where adverse events were monitored.

No serious adverse events were observed in any of the clinical studies listed above, even at the highest dose tested (45 mg in 15 patients; Kajita et al., 2010). In one study, a red coloration of the stool was noted at a dose of 30 mg (Kajita et al. 2009b; Tsukahara, Kato, & Yoshimoto, 2009). This was also observed at a dose of 20 mg (Choi, Kim, et al., 2011) and an increased frequency in bowel movement in two patients (of 14). Kupcinskis et al. (2008) recorded 36 adverse events (in 131 patients taking 16 or 40 mg AX or placebo); however, compared with placebo, fewer events took place in the higher dose group.

No changes in liver parameters in humans have been reported. Natural AX has shown an excellent clinical safety profile at short-term daily doses up to 100 mg and long-term daily doses averaging between 8 and 12 mg. This is important in view of animal experimental results for synthetic AX discussed below.

3.4 | Toxicity studies in animals

3.4.1 | Natural AX

No changes in liver or other pathologies have been found in rats treated with AX-rich extracts of *H. pluvialis* (Takahashi et al., 2005;

TABLE 3 Clinical studies of natural astaxanthin (excluding topical applications)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--|---|--------------|--|---|----------|------------------|-----------------------|
| Andersen et al., 2007 | Gastric inflammation in <i>Helicobacter pylori</i> -positive subjects | RCT | Biopsies examined IL-4, IL-6, IL-8, IL-10, IFN- γ , CD4, CD8, CD14, CD19, CD25, and CD30. CD4 was significantly upregulated ($P < .05$) and CD8 significantly downregulated ($P < .01$) in patients with <i>H. pylori</i> when treated with 40 mg of AX daily | None reported | 8 weeks | 40 | 21 |
| Anderson, 2014 | Serum hormone levels in sedentary males | RCT | Serum profiles of testosterone, E2, and DHT were evaluated. No significant increase in serum testosterone but significant decrease in DHT and E2 levels versus placebo | No significant changes in systolic or diastolic blood pressure, no adverse side effects in both dose groups | 2 weeks | n/a ^b | 10/10 |
| Angwafor & Anderson, 2008 | DHT, testosterone, and ES levels in healthy males | OL | Blood samples were assayed for levels of endogenous testosterone, DHT, and ES. Significant increase of testosterone and decrease of DHT were observed in the lower dose group, additional significant decrease of ES in the higher dose group | No significant changes in systolic or diastolic blood pressure, no adverse side effects in both dose groups | 2 weeks | n/a ^b | 21/21 |
| Aquasearch, 1999 | Safety | OL | Blood and urine analyses and physical examinations were carried out at the beginning, after 3 to 7 days, and at the end of the 4-week period. | No changes of any clinical significance noted | 4 weeks | 3.85, 19.25 | 33 |
| Baralic et al., 2013, 2015 | PON1 activities, salivary IgA, oxidative stress, and inflammation in young soccer players | RCT | PON1 activities and oxidative stress status were assessed by substrates paraoxon and diazoxon and total -SH content, TBARS, advanced oxidation protein products, and redox balance, respectively. PON1 activities toward both substrates and SH increased significantly, TBARS and redox balance decreased significantly versus placebo. Authors also investigated salivary IgA and muscle enzyme levels for oxidative stress and inflammation. AX improved salivary IgA response, reduced plasma muscle enzyme levels, whereas placebo showed increase in neutrophil count and hs-CRP level | None reported | 90 days | 4 | 21 |
| Belcaro, Cesarone, Cornelli, & Dugalli, 2010 | Menopause symptoms | RCT | Climacteric condition determined by 34-symptom questionnaire MSSQ. Signs and symptom scores were similar at baseline but showed significant reduction of many variables after 8 weeks versus placebo | Treatment well tolerated, compliance >97% | 8 weeks | 0.54 | 33 |
| Bloomer et al., 2005 | Muscle injury after eccentric exercise | RCT | Muscle soreness, CK, and muscle performance were measured at baseline after 3 weeks and through 96-hr post exercise. No significant difference in response was observed between groups | None reported | 3 weeks | 4 | 10 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--|---|--------------|---|--|-----------|---------------|-----------------------|
| Chalyk, Klochkov, Bandalotova, Kyle, & Petyaev, 2017 | Skin parameters | OBS | Morphological analyses of the RSSCs were conducted and blood samples were taken for measuring plasma levels of MDA. Plasma MDA, corneocyte desquamation, and microbial presence decreased continuously and significantly, effects were more pronounced in overweight subjects | None reported | 4 weeks | 4 | 31 |
| Chen & Kotani, 2017 | Liver and leukocyte parameters in healthy climacteric women | RCT | Liver enzymes, levels of blood d-ROMs, 8-OHdG, and BAP were determined. Liver enzymes such as AST and ALT decreased and blood leucocytes increased significantly, whereas no significant changes were observed in d-ROMs and urinary 8-OHdG levels or BAP versus placebo | None reported | 12 weeks | 12 | 14 |
| Choi, Kim, Chang, Kyu-Youn, & Shin, 2011 | Oxidative stress in overweight subjects | RCT | MDA, ISP, SOD, and TAC were measured at baseline, 1, 2, and 3 weeks. MDA and ISP significantly decreased, SOD and TAC significantly increased in both groups | No adverse effects, changes in fecal color to red (n = 2) | 3 weeks | 5, 20 | 12/11 |
| Choi, Youn, & Shin, 2011 | Lipid profiles and oxidative stress in overweight subjects | RCT | TC, TG, HDL cholesterol, LDL cholesterol, ApoA1, and ApoB were measured at baseline and 12 weeks. MDA, ISP, SOD, and TAC were measured at baseline, 4, 8, and 12 weeks. LDL cholesterol, ApoB, MDA, and ISP were significantly lowered, TAC significantly increased versus placebo | Gastrointestinal adverse events: fecal color red (n = 2) and bowel movements increased (n = 2) | 12 weeks | 20 | 14 |
| Cicero, Rovati, & Sethnikar, 2007 | Eulipidemic effects | RCT | TC, LDL, HDL, non-HDL, ApoB, ApoA, Lp(a), and TG were measured at baseline and after 4 weeks. TC, LDL, ApoB, and TG significantly decreased, HDL significantly increased | No adverse events or impairments of liver transaminases or of CPK | 4 weeks | 0.5 | 20 |
| Comhaire, El Garem, Mahmoud, Eertmans, & Shoonjans, 2005 | Male infertility | RCT | Semen parameters, ROS, zona-free hamster oocyte test, serum hormones including testosterone, LH, FSH, Inhibin B, and spontaneous or IUI-induced pregnancies were evaluated. ROS and Inhibin B decreased significantly, sperm linear velocity increased, and total and per cycle pregnancy rates were higher versus placebo | None reported | 12 weeks | 16 | 11 |
| Coombes, Sharman, & Fassett, 2016; Fassett et al., 2008 | Arterial stiffness, oxidative stress, and inflammation in renal transplant recipients | RCT | Arterial stiffness measured by aortic PWV, oxidative stress assessed by total plasma F2-IPs, and inflammation assessed by plasma pentraxin-3 were primary, vascular function, carotid artery intima-media thickness, augmentation index, central blood pressure, subendocardial viability ratio, and additional measures of oxidative stress and inflammation | No adverse events were ascribed to the interventions during the trial | 12 months | 12 | 32 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|---|---|--------------|---|---|-----------------------------|---------------|-----------------------|
| Coral-Hinojosa, Yrrestøl, Ruyter, & Bjerkeng, 2004 | Appearance, pharmacokinetics and distribution of AX isomers | OBS | No AX esters were detected in plasma. Maximum levels were reached after 11.5 hr, elimination half-life was 52 ± 40 hr. Dose response was nonlinear. The relative proportion of AX Z-isomers was selectively increased before uptake in blood, and AX esters are selectively hydrolyzed during absorption | None reported | 2 doses, 4 weeks in between | 10, 100 | 3 |
| Djordjevic et al., 2012 | Muscle damage and oxidative stress | RCT | TBARS, AOPP, superoxide anion (O ₂ ^{•-}), TAS, SH, SOD, CK, and AST were analyzed at baseline and after 90 days. Total SH increased, and SOD, CK, and AST significantly decreased with AX | None reported | 90 days | 4 | 18 |
| Earnest, Lupo, White, & Church, 2011 | Cycling time trial (TT) performance | RCT | A VO _{2max} test was conducted under various conditions. Significant improvements in 20 km TT and power output were observed with AX | None reported | 4 weeks | 4 | 7 |
| Fleischmann, Horowitz, Yanovich, Raz, & Heled, 2017 | Physiological and molecular influences on heat stress | RCT | Heat tolerance using the validated HTT and aerobic fitness using VO _{2max} were tested. No significant physiological inter-group differences were observed both in the response to heat stress exposure and in aerobic fitness | None reported | 4 weeks | 12 | 12 |
| Fry, Schilling, Chiu, Hori, & Weiss, 2004 | DOMS | RCT | DOMS was quantified by muscle soreness ratings (0–7 Likert scale). Muscle fiber characteristics were determined via mATPase histochemistry and digital imaging. No significant difference in DOMS was observed between groups. Fiber type areas were similar, but DOMS was positively related to Fiber Type I and negatively related to Types IIA and IIB/B | No problems associated with dose | 3 weeks | 8 | 4 |
| Hashimoto et al., 2013 | Antioxidation in human aqueous humor | OBS | Changes in SSA, hydrogen peroxide, and total hydroperoxides levels in human aqueous humor were measured during bilateral cataract surgery before and after AX supplementation. After AX, SSA was significantly elevated, whereas total hydroperoxide production was suppressed | None reported | 2 weeks | 6 | 35 |
| Hayashi, Ishibashi, & Maoka, 2018 ^d | Cognitive function | RCT | Cognitive function was compared by word memory test, verbal fluency test, and Stroop test. There were no significant intergroup differences in the results, except in the subgroup < 55 years, where the word memory test showed significant improvement with AX | No adverse events related to the supplement were observed | 8 weeks | 8 | 28 |
| Imai et al., 2018 | Daily fatigue | RCT | | | 4 weeks | 6 | 23 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a | |
|---------------------------------------|--|--------------|--|---|---------------|---------------|-----------------------|---|
| Ito, Saito, Seki, Ueda, & Asada, 2018 | Mild cognitive impairment | RCT | Fatigue was evaluated using a VAS, daily subjective fatigue was evaluated by the Chalder fatigue questionnaire. Secondary outcomes included work efficiency, autonomic nerve activity, levels of plasma phosphatidylcholine hydroperoxide (PCOOH), and safety. Results showed significantly improved recovery from mental fatigue with AX. AX also attenuated increased PCOOH levels | No adverse effects associated with the supplementation were observed | 12 weeks | 6 | 7 | |
| Ito, Seki, & Ueda, 2018 | UV-induced skin deterioration | RCT | CNSVS test and the Alzheimer's Disease Assessment Scale-Cog test were performed at baseline and after 6 and 12 weeks. Significant improvements in psychomotor speed and processing speed were demonstrated with AX | No adverse events related to the ingestion of AX | 10 weeks | 4 | 11 | |
| Iwabayashi et al., 2009 | Increased oxidative stress in postmenopausal women | OL | MED, UV-induced changes of moisture, TEWL, and subjective skin conditions were evaluated at baseline and after 9 weeks. MED increased, loss of skin moisture and subjective conditions were reduced with AX | No adverse events were observed | 4 weeks | 12 | 20 | |
| Iwamoto et al., 2000 | Inhibition of LDL oxidation | OBS | Antiangi QOL common questionnaire, somatometry, hematological examination/urinalysis, oxidative stress test, CAVI, ankle brachial pressure index (ABI), fingertip acceleration pulse wave, and FMD were conducted at baseline and at 4 and 8 weeks. Five of 34 physical symptoms listed in the common questionnaire significantly improved, blood pressure significantly decreased, ABI and BAB significantly increased, AST, LDH, and HbA1c levels significantly improved, DHEA-s, cortisol and adiponectin decreased with AX | None reported | 2 weeks | 1.8–21.6 | 5/5/3/5 | |
| Iwasaki & Tawara, 2006 | Eye strain induced by accommodative dysfunction | RCT | Fasting venous blood samples were taken at baseline and Day 14. LDL lag time was longer, but there was no difference in oxidation of LDL with AX | Subjects were assigned a near visual task for 20 min. Accommodative function and subjective symptoms related to eyestrain were measured before and after the task and after a 10-min rest following the task. Accommodative contraction and relaxation times were significantly prolonged, however, eye fatigue and eye | None reported | 2 weeks | 6 | 5 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|---|------------------------------------|--------------|---|--|----------|---------------|-----------------------|
| Kajita, Tsukahara, & Kato, 2009 | Accommodation function of the eye | OL | heaviness were increased. Overall subjective symptoms rating decreased with AX Uncorrected VA and near response (by Trilris C9000) were measured at baseline and 4 weeks. Symptoms including difficulty to see nearby objects, difficulty to see far objects, eye strain, ocular pain, blurred vision, eye redness, flashing vision, lacrimation, shoulder and low back stiffness, and dull headache were scored at baseline and 4 weeks. Uncorrected VA showed no significant changes. Pupillary constriction ratio showed a significant increase for both eyes. Symptoms also improved significantly with AX, except for eye redness and lacrimation | None reported | 4 weeks | 6 | 22 |
| Kajita, Tsukahara, Kato, & Yoshimoto, 2009 | Safety of excessive intake | RCT | Hematological and biochemical tests, ophthalmological examinations including intraocular pressure, and questionnaires were conducted. No statistical differences and no clinically meaningful adverse effects between the groups were shown | Red-colored stool | 4 weeks | 30 | 12 |
| Kajita, Kato, Yoshimoto, & Masuda, 2010 | Safety of high-dose intake | RCT | Hematological, serum chemistry, or urinalysis parameters were tested. Tonometry, slit-lamp biomicroscopy and funduscopy were performed. No significant changes were observed | No serious adverse events were observed | 4 weeks | 45 | 15 |
| Kaneko et al., 2017 | Vocal fold injury and inflammation | OBS | A 60-min vocal loading session and vocal assessments prior to, immediately after, and 30 min post vocal loading were performed at baseline and 4 weeks. All parameters were significantly worse after loading at baseline but not after 4 weeks with AX | No allergic responses or adverse effects | 4 weeks | 24 | 10 |
| Karppi, Rissanen, Nyyssönen, Kaikkonen, & Voutilainen, 2007 | Lipid peroxidation | RCT | Effects on lipid peroxidation, absorption, and safety were evaluated. Plasma levels of 12- and 15-hydroxy fatty acids were reduced significantly with AX. Supplementation was well tolerated. No significant changes in liver enzymes, blood profile, or blood pressure were observed | None reported | 12 weeks | 8 | 40 |
| Katagiri, Satoh, Tsuji, & Shirasawa, 2012 | Effect of AX on cognitive function | RCT | Somatometry, hematology, urine screens, and CogHealth and Groton Maze Learning Test were performed at baseline and after every 4 weeks of administration. CogHealth scores and Groton Maze Learning Test scores improved with both | No adverse effects were observed | 12 weeks | 6, 12 | 29/29 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|---|---|--------------|---|---|----------|---------------|-----------------------|
| Kim & Chyun, 2004 | Lipid peroxidation and antioxidant status in postmenopausal women | OL | TC, LDL, HDL, TG, plasma TBARS, total antioxidant status (TAS), and urinary 8-IPs were analyzed. HDL and TAS increased significantly, TG and TBARS decreased significantly with AX | None reported | 8 weeks | 2, 8 | 5/5 |
| Kim et al., 2011 | Oxidative stress in healthy smokers | RCT | MDA, ISP, SOD, TAC, and ASX levels in plasma were measured at baseline and after 1, 2, and 3 weeks. Plasma MDA and ISP decreased significantly, SOD level and TAC increased with AX | None reported | 3 weeks | 5, 20, 40 | 13/13/13 |
| Komori, 2015 | Effect on late life depression | OL | Seventeen-item HAM-D17 and the basal levels and circadian rhythm of salivary cortisol were measured at baseline and 12 weeks. HAM-D17 was significantly improved after 12 weeks, basal levels and circadian rhythm of salivary cortisol were normalized in 8 responders | None reported | 12 weeks | 6 | 18 |
| Kupcinskas et al., 2008 | Functional dyspepsia with or without <i>H. pylori</i> | RCT | Gastroscopy and urea breath test were performed before treatment. Questionnaires GRSR and SF-36 were performed at baseline and Weeks 4 and 8. No difference between the three treatment groups was observed regarding GRSR. Reduction of reflux syndrome was significant in the higher dose of AX | Thirty-six adverse events occurred, 12 possibly related to the study drug, but no prevalence could be detected between treatment groups | 4 weeks | 16, 40 | 43/44 |
| Liu, Ali, & Campbell, 2018 | Strength, endurance, and mobility in the elderly | RCT | Strength was measured as MVC in ankle dorsiflexion exercise, tibialis anterior muscle size (CSA) was determined from magnetic resonance imaging at baseline, after 1 month of supplementation only, and at 4 months of supplementation and 3 months training. AX improved muscle strength and CSA elderly in addition to positive effects of training alone | None reported | 4 months | 12 | 21 |
| MacDermid, Vincent, Gan, & Grewal, 2012 | Carpal tunnel syndrome | RCT | SSS, physical impairments, disability, and health status were measured at baseline and 6 and 12 weeks. Electrodiagnostic testing was performed at baseline and 12 weeks. A reduction in symptoms as measured by SSS was observed for both groups but no statistically significant difference between groups | No moderate/severe adverse events reported | 9 weeks | 4 | 32 |
| Malmsten & Lignell, 2008 | Strength and endurance | RCT | Fitness, strength/endurance and strength/explosivity were measured by standardized exercises at baseline, 3, and 6 months. Only | None reported | 6 months | 4 | 19 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--|---|--------------|--|--|----------|---------------|-----------------------|
| Mashhadi et al., 2018 | Glucose metabolism and blood pressure in patients with Type 2 diabetes mellitus | RCT | increase in knee bending was significantly higher with AX Serum adiponectin, visceral body fat mass, TG, LDL, systolic blood pressure, fructosamine, and plasma glucose concentration were measured at baseline and 8 weeks. Serum adiponectin was significantly increased, all other parameters significantly decreased with AX. | No adverse events observed | 8 weeks | 8 | 22 |
| Matsuyama, Takahashi, & Itakura, 2010 | Long-term safety | OL | Physiological, biochemical, hematological, and urinary markers were analyzed at baseline, 4, 8, and 12 weeks. No clinical changes were observed over the study period | No adverse effects reported | 12 weeks | 9 | 50 |
| Mercke Odeberg, Lignell, Pettersson, & Höglund, 2003 | Bioavailability and pharmacokinetics | OL | Bioavailability was examined for AX and AX + lipid bases. Blood sampling from healthy volunteers and subsequent analyses elucidated plasma concentrations. Highest bioavailability of AX was observed with polysorbate 80 | Headache, which was not attributed to the treatment. | 1 dose | 40 | 32 |
| Miyawaki et al., 2008 | Effects on human blood rheology | OL | A blood rheology test was conducted, which measures whole blood transit by a microchannel array flow analyzer at baseline and 10 days. Blood transit times were shortened significantly with AX | None reported | 10 days | 6 | 20 |
| Nagaki et al., 2002 | Effects in visual display terminal workers | RCT | Accommodation, CFF and PVEP were evaluated at baseline and 4 weeks. Accommodation amplitude was significantly larger with AX, compared with control and placebo | None reported | 4 weeks | 5 | 13 |
| Nagaki, Mihara, & Takahashi, 2005 | Retinal capillary blood flow | RCT | Retinal capillary blood flow, blood pressure, blood cell counts, fasting plasma glucose level, and intraocular pressure were measured at baseline and 4 weeks. Significant increase in retinal capillary perfusion with AX, intraocular pressure, and physical and biochemical parameters remained unchanged | None reported | 4 weeks | 6 | 18 |
| Nagaki, Mihara, Tsukahara, & Ono, 2006 | Accommodation and asthenopia | RCT | Visual accommodation was evaluated by questionnaire and examination at -2 weeks, baseline, 2, and 4 weeks. Blood work was done at -2 and 4 weeks. Amplitude of accommodation improved significantly with AX | No difference in safety parameters, no adverse events. One case of tinnitus was not causally related to AX | 4 weeks | 6 | 25 |
| Nagaki, Tsukahara, Yoshimoto, & Masuda, 2010 | Accommodation and asthenopia | RCT | Accommodation ability was tested at baseline and 4 weeks. Value and rate of change of accommodation ability was significantly higher | No clinically relevant problems or adverse events observed | 4 weeks | 9 | 42 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--------------------------------------|-------------------------------------|--------------|--|--|----------|---------------|-----------------------|
| Nagata, Tajima, & Takahashi, 2006 | Antifatigue and task performance | RCT | with AX. Subjective questionnaire significantly improved in four conditions with AX Trail Making Test and exercise test stepwise with three maximum heart rates were performed at baseline, 2, and 4 weeks (crossover after 2 weeks). Blood parameters and HRV were also measured. A significantly higher recovery rate could be shown with AX. Plasma TC and TG significantly decreased with AX. HRV spectrum improved significantly with AX | None reported | 4 weeks | 5 | 38 |
| Nakagawa et al., 2011 | Effect on phospholipid peroxidation | RCT | Anthropometric data and blood samples were collected at baseline and 12 weeks. Phospholipid hydroperoxides (PLOOH) and erythrocyte antioxidant status improved with AX | None reported | 12 weeks | 6, 12 | 10/10 |
| Nakamura, Isobe, Otaka, et al., 2004 | Changes in visual function | RCT | Far VA, refraction, flicker fusion frequency, accommodation, and pupillary reflex were tested at baseline and 4 weeks. Uncorrected VA improved significantly, and positive accommodation time was shortened significantly with AX | No changes in physical condition or other adverse effects were reported | 4 weeks | 2, 4, 12 | 12/14/13 |
| Nir, Spiller, & Multz, 2002a | Carpal tunnel syndrome | RCT | Pain rate and duration were measured by questionnaire at baseline, 4, and 8 weeks. A nonsignificant trend toward decreased pain rate and duration was observed for AX | None reported | 8 weeks | 12 | 13 |
| Nir, Spiller, & Multz, 2002b | Rheumatoid arthritis | RCT | Pain rate and ability to perform daily activities were measured by questionnaire at baseline, 4, and 8 weeks. Pain rate and satisfaction scores improved significantly with AX | None reported. | 8 weeks | 12 | 14 |
| Nitta et al., 2005 | Accommodation and asthenopia | RCT | Allocation, general ophthalmology, asthenopia, and blood chemistry were examined, accompanied by interviews and questionnaires (VAS), at Weeks -1, 0, 2, 4, and 8. Amplitude of accommodation and accommodation speed improved significantly with AX, VAS items decreased significantly with AX | No difference in safety parameters, no adverse events | 4 weeks | 6, 12 | 10/10 |
| Ohgami et al., 2005 | Safety of high dose administration | OL | Hematological, biochemical, urine, physical, and ophthalmological examinations were performed at baseline, Weeks 2 and 4, and after supplementation at Weeks 6 and 8. No relevant changes were recorded for any of the parameters at any time point | No difference in safety parameters, no adverse events. Reddish-colored stools were reported at the beginning of the supplementation. Other symptoms indicated were causally not attributed to AX | 4 weeks | 30 | 10 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|---|---|--------------|---|--|-----------|---------------|-----------------------|
| Okada, Shikura, & Maoka, 2009 | Bioavailability | OL | Blood samples were analyzed at baseline and 4, 6, 8, 24, 72, and 168 hr after administration. Analyses included platelet count, number of white and red blood cells, hemoglobin content, hematocrit, corpuscular volume, and hemoglobin and hemoglobin concentration. AST, ALT, GGT, TC, HDL, LDL, TG, uric acid, urea nitrogen, creatinine and fasting blood glucose levels were also measured. Bioavailability was affected by timing of administration (significantly better after a meal), smoking affected pharmacokinetic parameters and reduced AX elimination half-life significantly | No adverse events. Red coloration of feces reported. Hematological and blood-biochemical parameters showed no abnormalities or significant changes | 1 dose | 48 | 15 |
| Østerlie, Bjerkeng, & Llaaen-Jensen, 2000 | Bioavailability | OL | Blood samples were analyzed at baseline and 2, 4, 6, 8, 10, 12, 24, 32, 48, and 72 hr after administration for AX concentration in the plasma and composition in VLDL, LDL and HDL fractions. AX was absorbed into plasma without any appreciable metabolic transformation. Maximum levels were observed were reached ~7 hr after administration, elimination half-life was ~21 hr | None reported | 1 dose | 100 | 3 |
| Parisi, Tedeschi, Gallinaro, et al., 2008 | Age-related macular degeneration (AMD) | RCT | Multifocal electroretinograms in response to 61 M-stimuli presented to the central 20° of the visual field were assessed at baseline, 6, and 12 months. At baseline, multifocal electroretinogram RADs were significantly reduced compared with healthy controls at baseline. RADs representing dysfunction in the central retina (0°–5°) improved significantly with AX | No adverse events | 12 months | 4 | 15 |
| Park, Chyun, Kim, Line, & Chew, 2010 | Immune response | RCT | Blood tests were performed at baseline and Weeks 4 and 8, a tuberculin test was performed at Week 8. AX decreased DNA damage biomarker. Plasma C-reactive protein concentration was significantly lower with AX. AX stimulated mitogen-induced lymphoproliferation, increased natural killer cell cytotoxic activity, and increased total T and B cell subpopulations. AX led to higher tuberculin response, and increased IFN- γ and IL-6 | None reported | 8 weeks | 2.8 | 28 |
| Petyaev et al., 2018 | Markers of hypoxia and oxidative stress | RCT | Serum AX, nitric oxide (NO), malonic dialdehyde, and oxidized LDL were quantified, and oxygenation parameters were evaluated at baseline and 4 weeks. AX decreased serum levels | None reported | 4 weeks | 7 | 24 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--|-----------------------------------|--------------|---|--|-------------|---------------|-----------------------|
| Piermarocchi et al., 2012 | AMD | RCT | of oxidized LDL and malonic dialdehyde and increased NO levels VA, CS, and NEI VFQ-25 scores were established at baseline, 12 and 24 months. VA stabilized significantly, CS and final mean NEI VFQ-25 composite scores also showed significant improvement with AX | No significant systemic or ocular adverse events | 24 months | 4 | 84 |
| Pirro et al., 2016 | Low-grade systemic inflammation | OL | TC, triacylglycerols, LDL, and HDL were determined by enzymatic-colorimetric method, plasma hs-CRP levels were measured using the hs-CRP assay by nephelometry at -4 weeks, baseline, and 3 months. Significant reductions of TC, LDL, and hsCRP were observed with AX | No adverse events | 12 weeks | 0.5 | 50 |
| Res et al., 2013 | Fat use and endurance performance | RCT | Well-trained cyclists performed 60 min of exercise (50% W_{max}), followed by a time trial of approximately 1 hr at baseline and 4 weeks. No augmented antioxidant capacity, increase fat oxidative capacity, or improved time trial performance were observed with AX | No adverse events | 4 weeks | 20 | 16 |
| Rüfer, Moeseneder, Briviba, Reckemmer, & Bub, 2008 | Bioavailability | OBS | Plasma AX concentration and isomer distribution were measured by HPLC using a reversed and a chiral stationary phase. AX plasma concentrations varied between sources (wild and farmed salmon) and a selective process of isomer absorption was observed | None reported | 4 weeks | 1.25 | 28 |
| Saito et al., 2012 | Choroidal blood flow velocity | RCT | Hemodynamics of the choroidal circulation were measured with LSCG. SBR, a quantitative index for relative blood flow velocity was calculated at baseline, 2, and 4 weeks. Macular SBR was significantly increased at 4 weeks with AX | No subjective or objective adverse events | 4 weeks | 12 | 10 |
| Satoh et al., 2009 | Toxicity and efficacy | OBS | Biochemical and blood parameters were measured, and brain function assessed using CogHealth and P300 at baseline, 4, and 12 weeks. No statistically significant changes were noted for any of the measured parameters. CogHealth and P300 measures improved with AX | No adverse events. Reddening of feces was observed | 4, 12 weeks | 4, 8, 12, 20 | 73/38/10/16 |
| Sawaki et al., 2002 | VA and muscular fatigue | RCT | Deep vision, CFF, static and kinetic VA, blood biochemical, and hematological parameters were measured at baseline, 4, and 12 weeks. For CFF, the visual sensation significantly sharpened, and | No adverse events observed | 4 weeks | 4 | 9/8 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|--|--------------------------------------|--------------|--|---|----------|---------------|-----------------------|
| Seya, Takahashi, & Imanaka, 2009 | Visual fatigue and reaction time | OL | serum lactate levels significantly decreased with AX An eye pursuit movement test was conducted, and reaction times were measured at baseline, 2, and 4 weeks. No significant differences were observed, but long-term AX reduces reaction time and visual fatigue | None reported | 4 weeks | 6 | 10 |
| Shiratori et al., 2005 | Accommodation, asthenopia and safety | RCT | Subjective accommodation power, positive accommodation time, and negative accommodation time were measured to evaluate asthenopia. Asthenopia was subjectively evaluated using VAS. Lab tests of safety parameters (blood chemistry and biochemistry) were performed at -3, baseline, 2, and 4 weeks. Accommodation power, positive and negative accommodation times, and VAS significantly improved with AX | No difference in safety parameters, no adverse events | 4 weeks | 6 | 20 |
| Spiller & Dewell, 2003 | Safety | RCT | Blood chemistry and blood pressure were analyzed at baseline, 4, and 8 weeks. No significant physiological differences were detected in blood pressure or serum safety markers | No adverse events | 8 weeks | 6 | 19 |
| Takahashi & Kajita, 2005 | Accommodative recovery | OL | Objective diopter value, accommodative reaction volume, and HFC in accommodative microfluctuation were examined and questionnaires given at baseline and 2 weeks. HFC value decreased significantly with AX. | None reported | 2 weeks | 6 | 9 |
| Talbot et al., 2019 | Depression and fatigue | RCT | Subjects completed POMS and related subscales Vigor (V), Tension (T), Depression (D), Anger (A), Fatigue (F), and Confusion (C) at baseline and 8 weeks. POMS, V, D, F, T, A, and C improved significantly with AX | No adverse events related to AX recorded | 8 weeks | 12 | 14 |
| Tominaga, Hongo, Karato, & Yamashita, 2009 | Skin parameters | OL | Wrinkle topography measurements were taken, skin elasticity and size of age spots quantified, skin topography measurements made, and cell size in the corneocyte measured at baseline and 8 weeks. Size of age spots was reduced, skin texture and the size of cells in the stratum corneum corneocyte substantially improved. Improvements were also found with acne, excessive sebum secretion, and pregnancy-induced skin changes | None reported | 8 weeks | 6 | 28/30/29/30/30 |
| Tominaga, Hongo, Karato, | Skin parameters | OLm RCT | AX caused improvements in skin wrinkle, age spot size, elasticity, skin texture, moisture content of | None reported | 6 weeks | 6 | 30/18 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|---|--|--------------|--|---|----------|---------------|-----------------------|
| & Yamashita, 2012 | | | corneocyte layer, dry skin, and corneocyte condition at 8 weeks. For methods, see above | | | | |
| Tominaga, Hongo, Fujishita, Takahashi, & Adachi, 2017 | Skin parameters | RCT | Wrinkle grade measurements were performed at baseline and Weeks 8 and 16. Wrinkle grade, skin moisture, and IL-1 α levels in the stratum corneum significantly deteriorated in placebo but not in AX | No serious adverse events were reported | 16 weeks | 6, 12 | 22/19 |
| Trimarco et al., 2017 | Plasma lipid and cardiovascular risk factors | RCT | BP, heart rate, body weight, waist circumference, lipid and glucose profile, plasma levels of insulin, and HbA1c were assessed at baseline and 16 weeks. LDL, TC, and TG levels, as well as plasma glucose levels, HbA1c, and insulin, and average BP were significantly reduced with AX | 7 reported minor adverse events, none of which could be directly attributed to AX | 16 weeks | 0.5 | 170 |
| Tsukahara, Fukuhara, & Takehara, 2005 | Long-term safety | OL | Body weight, BMI, BP, and comprehensive hematological and urine analyses were conducted at baseline, 4, 8, and 12 weeks. No clinically relevant negative effects on any of the measured parameters were observed | No adverse events observed | 12 weeks | 6 | 15 |
| Tsukahara et al., 2008 | Blood flow and shoulder stiffness | OL | Blood flow change and subjective questionnaires were measured at baseline and 4 weeks. Blood flow in shoulders increased significantly, physical symptoms including stiffness, fatigue, irritation coldness, and so forth significantly improved with AX | No clinical differences in safety parameters, no adverse events observed | 4 weeks | 6 | 13 |
| Uchiyama, 2008 | Metabolic syndrome | OL | Subjective symptoms, hematology, blood chemistry, blood coagulation system, glucose and lipid metabolism, physical parameters, and urinalysis were investigated at baseline and 3 months. HbAc1 and TNF- α significantly decreased, adiponectin significantly increased with AX | No adverse events related to AX, other than red stool. | 3 months | 16 | 17 |
| Urakaze et al., 2018a | Glycemic control and lipid profile | RCT | TG, TC, HDL, LDL, glucose, and HbA1c levels were measured at baseline and 12 weeks. Glucose, HbA1c, and LDL were significantly reduced with AX | None reported | 12 weeks | 12 | 22 |
| Urakaze, Kobashi, Satou, et al., 2018b | Glucose tolerance in nondiabetic subjects | RCT | Matsuda index, hepatic insulin resistance, muscle insulin sensitivity, glucose, and HbA1c were measured at baseline and 4 weeks. Glucose level and HbA1c were reduced, Matsuda index and hepatic insulin resistance improved with AX | None reported | 12 weeks | 12 | 16 |
| Yamashita, 2002 | Skin parameters | RCT | Questionnaire, inspection, skin moisture content, sebum content, and skin surface measurements were conducted at baseline and 4 weeks. Self-assessment and inspection reported | None reported | 4 weeks | 2 | 8 |

(Continues)

TABLE 3 (Continued)

| References | Investigation | Study design | Therapeutic endpoints and outcomes | Adverse effects | Duration | Daily AX (mg) | Subjects ^a |
|----------------------|-------------------------------------|--------------|---|--|----------|---------------|-----------------------|
| Yamashita, 2006 | Skin parameters | RCT | improvement, moisture content increased significantly with AX Questionnaire, inspection, skin moisture content, elasticity, and surface measurements were conducted at baseline, 3, and 6 weeks. Self-assessment and inspection reported improvement, moisture content increased significantly, elasticity and skin surface improved with AX | None reported | 6 weeks | 4 | 28 |
| Yoon et al., 2014 | Skin parameters | OBS | Elasticity and hydration properties of facial skin were evaluated noninvasively, further, expression of Procollagen Type I, fibrillin-1, MMP-1 and -12, and UV-induced DNA damage in artificially UV-irradiated buttock skin were evaluated at baseline and 12 weeks. Skin elasticity and TEWL improved, expression of Procollagen Type I increased and expression of MMP-1 and -12 decreased with AX | No subjective adverse events were reported | 12 weeks | 2 | 44 |
| Yoshida et al., 2010 | Dyslipidemia and metabolic syndrome | RCT | Venous blood was collected, and all subjects underwent anthropometric and blood pressure measurements at baseline and 12 weeks. Fasting plasma glucose, adiponectin, TC, TG, LDL, and HDL were determined. TG decreased, HDL increased, and serum adiponectin increased significantly with AX | None reported | 12 weeks | 6, 12, 18 | 15/15/16 |

Abbreviations: 8-OHdG, urinary 8-hydroxy-20-deoxyguanosine; AOPP, advanced oxidation protein products; ApoA1, apolipoprotein A1; ApoB, apolipoprotein B; ALT, aspartate aminotransferase; AST, alanine aminotransferase; AX, astaxanthin; BAP, biological antioxidant potential; BMI, body mass index; BP, blood pressure; CAVI, cardio ankle vascular index; CFF, critical flicker fusion; CK, creatine kinase; CNSVS, Central Nervous System Vital Signs; CPK, creatine phosphokinase; CS, contrast sensitivity; CSA, cross-sectional area; DHT, dihydrotestosterone; DOMS, delayed onset muscular soreness; d-ROMs, diacron-reactive oxygen metabolites; ES, estradiol; FMD, flow-mediated dilation; FSH, follicle stimulating hormone; GSRS, gastrointestinal symptom rating scale; HAMD17, Hamilton depression scale; HDL, high-density lipoprotein; HFC, high-frequency component; HPLC, high-performance liquid chromatography; HRV, heart rate variability; hs-CRP, high-sensitivity C-reactive protein; HTT, heat tolerance test; IFN, interferon; IL, interleukin; ISP, isoprostone; IUJ, intrauterine insemination; LDL, low-density lipoprotein; LH, luteinizing hormone; LSF, laser speckle flowgraphy; MDA, malondialdehyde; MED, minimal erythema dose; MMP-1, matrix metalloproteinase-1; MSSQ, Medical Student Stressor Questionnaire; MVC, maximal voluntary force; NEI VFQ-25, National Eye Institute visual function questionnaire; OBS, observational study; OL, open label; POMS, Profile of Mood States; PON1, paraoxonase; PVEP, pattern visual evoked potential; PWV, pulse wave velocity; QOL, quality of life; RADs, response amplitude densities; RCT, randomized controlled trial; ROS, reactive oxygen species; RSSCs, residual skin surface components; SBR, square blur rate; SF-36, short-form survey; -SH, sulphhydryl group; SSS, Symptom Severity Scale; SOD, superoxide dismutase; SSA, superoxide scavenging activity; TAC, total antioxidant capacity; TBARS, thiobarbituric acid-reactive substances; TC, total cholesterol; TEWL, transepidermal water loss; TG, triglyceride; TNF, tumor necrosis factor; UV, ultraviolet; VA, visual acuity; VAS, visual analog scale.

^aNumber of subjects who received AX and completed the study

^bAccording to U.S. Patent #6277417B1, which provides the basis for the composition of the trial product, AX content may have ranged from 0.8–48 mg/day.

^cAccording to U.S. Patent #6277417B1, which provides the basis for the composition of the trial product, AX content may have ranged from 0.8–80 mg/day.

^dAX-rich extract derived from *Paracoccus carotinifaciens*.

Stewart, Lignell, Pettersson, Elfving, & Soni, 2008), *P. rhodozyma* (Tago et al., 2014), or *P. carotinifaciens* (Katsumata, Ishibashi, & Kyle, 2014) at any dose.

3.4.2 | Synthetic AX

A carcinogenicity study for nongenotoxic and genotoxic effects in mice found no tumorigenic effects, neither benign nor malignant (Buser, Jovanovic, et al., 2003a), even at high dosages ($\leq 1,400$ mg/kg body weight per day). However, two rat carcinogenicity studies (Buser, Schierle, Schüep, et al., 2003b; Buser, Schierle, Schüep, et al., 2003c) found hepatocellular vacuolation, hypertrophy, and incidence of multinuclear hepatocytes to be increased in female rats at doses of 200 and 1,000 mg/kg body weight per day. Histological changes and an increase in hepatocellular adenoma, a nonmalignant tumor, occurred in female rats only at very high doses (Buser, Schierle, et al., 2003c). Females showed higher plasma levels of AX compared with male rats. No increase in the incidence of hepatocellular carcinomas was seen and longevity was not affected.

Other studies have found no association between liver or any other organ injury and synthetic AX intake (e.g., Buesen et al., 2015; Vega, Edwards, & Belstein, 2015).

Edwards et al. (2016) conclude that the effects of AX appear to be "species specific" and of "doubtful human relevance," notwithstanding the fact that the only studies describing liver toxicity used very high doses of synthetic AX.

4 | DISCUSSION

Natural AX is marketed in the EU in multiple products at daily doses up to 12 mg and has been approved by national competent authorities around the world at daily doses up to 24 mg. Human studies have not identified any significant toxicity at any doses over any length of time for natural AX in at least 87 clinical trials involving 2,000+ participants using short-term daily doses (up to 100 mg) and long-term daily doses averaging between 8 and 12 mg. No severe adverse events were recorded. No indicators of liver toxicity (such as elevated enzymes) were reported in any clinical studies. Reddening of stool is a minor adverse event occurring at high doses.

Considering the available regulatory, preclinical, and clinical data, there appear to be no applicable safety concerns for natural AX supplementation at levels of at least 12 mg/day. Regarding synthetic AX, the rat toxicity study by Buser, Jovanovic, et al. (2003a) used doses of 200 and 1,000 mg body weight per day, whereas a daily intake of 12 mg for a 50-kg human equates to 0.24 mg/kg body weight per day. Although synthetically produced AX has only demonstrated species-specific effects at very high doses, it must be considered unique and should not be introduced for direct human use (in contrast to animal feed) until safety parameters are established and human clinical trials showing potential benefits have been conducted.

CONFLICT OF INTEREST

The authors declare that there is no conflict of interest that could be perceived as prejudicing the impartiality of the research reported.

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